Grazitti Interactive LLP

Independent Practitioner's Trust Services Report for IT and IT Enabled Services (IT/ITES) and SearchUnify

For the Period March 01, 2021 to February 28, 2022

(SOC 3 Report)
Independent Practitioner’s Trust Services Report

To: Management of Grazitti Interactive LLP (Grazitti Interactive/Grazitti)

We have examined Grazitti Interactive’s (Grazitti) assertion related to “Description of its IT and IT Enabled Services (IT/ITES) and SearchUnify” system that, during the period March 01, 2021 to February 28, 2022, Grazitti maintained effective controls to provide reasonable assurance that:

- the system was protected against unauthorized access, use or modification;
- the system was available for operation and use as committed or agreed;
- information designated as confidential was protected by the systems as committed or agreed

based on the trust services criteria relevant to security, availability and confidentiality (“applicable trust services criteria”) set forth in TSP section 100, 2017 Trust Services Criteria for Security, Availability, Processing Integrity, Confidentiality, and Privacy (AICPA, Trust Services Criteria). This assertion is the responsibility of Grazitti’s management. Our responsibility is to express an opinion based on our examination.

Our examination was conducted in accordance with attestation standards established by the American Institute of Certified Public Accountants and, accordingly, included (1) obtaining an understanding of Grazitti’s relevant controls over security, availability and confidentiality (2) testing and evaluating the operating effectiveness of the controls and (3) performing such other procedures as we considered necessary in the circumstances. We believe that our examination provides a reasonable basis for our opinion.

Because of the nature and inherent limitations of controls, the Company’s ability to meet the aforementioned criteria may be affected. For example, controls may not prevent, or detect and correct errors or fraud, unauthorized access to systems and information, or failure to comply with internal and external policies or requirements. Also, the projection of any conclusions, based on our findings, to future periods is subject to the risk that the validity of such conclusions may be altered because of changes made to the systems or controls.

In our opinion, Grazitti management’s assertion referred to above is fairly stated, in all material respects, based on the aforementioned criteria for security, availability and confidentiality.

Sandip Padhi
License Number: PAC-CPAP-LIC-032767

June 10, 2022
Grazitti Management’s Assertion

May 18, 2022

During the period March 01, 2021 to February 28, 2022, Grazitti Interactive’s (Grazitti) maintained effective controls over IT and IT Enabled Services (IT/ITES) and SearchUnify (the "system"), to provide reasonable assurance that:

- the system was protected against unauthorised access, use or modification;
- the system was available for operation and use as committed or agreed;
- information designated as confidential was protected by the systems as committed or agreed

based on the criteria for security, availability and confidentiality set forth in the Description Criteria DC Section 200 2018 Description Criteria for a Description of a Service Organization’s System in a SOC 2 Report (description criteria).

The attached system description identifies the aspects of the Description of Grazitti’s ‘IT and IT Enabled Services (IT/ITES) and SearchUnify” covered by the assertion.

Alok Ramsisaria
Designated Partner
Grazitti Interactive LLP

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Description of Grazitti Interactive’s IT and IT Enabled Services (IT/ITES), SearchUnify and related processes throughout the period March 01, 2021 to February 28, 2022

Background and Overview of Services
Grazitti Interactive (Grazitti) is a global provider of SaaS products and digital services leveraging cloud, mobile and social media technologies. Since 2008, Grazitti has been helping companies power their businesses with Information Technology and Information Technology Enabled services (IT/ITES), and its enterprise search platform.

As a global consultancy, Grazitti Interactive has strategic partnerships with technology pioneers like Marketo, Salesforce, Google, Alteryx, Microsoft, Adobe, Lithium, Optimizely, Acquia, Shopify and Jive. They combine these platforms with their innovative approaches to provide effective, result-oriented solutions to clients. They have also been helping hundreds of global companies to transform their business technologies and save millions.


Overview of SearchUnify
SearchUnify is an AI powered federated search product that lets users search and find relevant information across multiple platforms. It has features such as detailed analytics, granular level configuration and search tuning. SearchUnify is being used by many enterprises to help them leverage machine learning for enterprise search.

Subservice Organizations
Grazitti utilizes the following subservice providers for data center services that are not included in within the scope of this examination. However, Grazitti’s responsibilities for the applications and services run at these cloud services are covered as part of the audit and in scope. Responsibility matrix is defined as part of the SLA and agreements with these sub service organizations.

- AWS – For Data Center Services
  AWS is a SOC 2 and SOC 3 attested organisation.

  The Criteria that relate to controls at the subservice organizations included all criteria related to the control objectives of Security and Availability. The types of controls that are necessary to meet the control objectives and related criteria, either alone or in combination with controls at Grazitti include:

  - The system is protected against unauthorized access (both physical and logical).
  - The system is available for operation and use and in the capacities as committed or agreed.
  - Policies and procedures exist related to security and availability and are implemented and followed.
Boundaries of the System
The specific products and services and locations included in the scope of the report are given below. All other products, services and locations are not included.

<table>
<thead>
<tr>
<th>Products and Services in Scope</th>
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<tr>
<td>The scope of this report is limited to Grazitti Interactive and related support processes. This covers the following products and services.</td>
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**Products**

- SearchUnify (SUF)
- M-Clean (MCN)
- M-Hive (MHV)
- ZakCalendar (ZCL)
- Ideas Manager (IDM)
- Alteryx Connectors (ALC)
- Sinergify (Salesforce Jira Connector) (SGF)
- Wordpress Marketo Integration Connector (WMC)
- Drupal Marketo Integration Connector (DMC)
- Maginate (Magento Marketo Integration Connector) (MGT)
- Cartiveo (Shopify Marketo Integration Connector) (CVT)
- Jive-Salesforce KB Sync Connector (JSK)
- Khoros Salesforce Case Connector (KSC)
- Khoros-Salesforce KB Sync Connector (KSK)
- Khoros Jira Connector (KJC)
- Higher Logic - Salesforce Case Connector (HSC)
- Higher Logic - NetSuite Case Connector (HNC)
- Email To Case Advance (ECA)
- OneMark Prefill (OMP)
- Zendesk Salesforce Connector (ZSC)

**Services**

- Information Technology and Information Technology Enabled Services (IT/ITES)
- Marketing Automation
- Software development for marketing platforms and CRM’s
- Development and deployment of connectors and plugins

<table>
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<th>Geographic Locations in Scope</th>
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<td>Panchkula, India</td>
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All material activities and operations in scope are performed from the above four office locations. Unless otherwise mentioned, the description and related controls apply only to the location covered by the report.

**Control Environment**

**Board of Directors**
Business activities at Grazitti are under the direction of the Board of Directors. The company is governed by its Board of Directors headed by its promoter director Mr. Alok Ramsisaria as the CEO. Neeta Ramsisaria is in charge of the company’s India operations playing a key role in strategy and client management.

**Risk Management and Risk Assessment**
Risk assessments are performed annually to identify current risk levels, with recommendations to minimize those risks that are determined to pose an unacceptable level of risk to Grazitti. As part of this process, security threats are identified and the risk from these threats is formally assessed.

**Information Security Policies**
Grazitti has developed an organization-wide Information Security Policies. Relevant and important Security Policies (IS Policies) are made available to all employees via Google Drive folders. Changes to the Information Security Policies are reviewed by the Information Security (‘IS’) Team and approved by CEO/CISO prior to implementation.

**Monitoring**
Monitoring is a critical aspect of internal control in evaluating whether controls are operating as intended and whether they are modified as appropriate for changes in business conditions. Grazitti management and Information Security personnel monitor the quality of internal control performance as a routine part of their activities.

**Information and Communication**
Grazitti has documented procedures covering significant functions and operations for each major work group. Policies and procedures are reviewed and updated based upon suggestions from security personnel and approval by management. Departmental managers monitor adherence to Grazitti policies and procedures as part of their daily activities.
Components of the System

Infrastructure
The infrastructure comprises physical and hardware components of the System including facilities, equipment, and networks.

Network Segmentation Overview
Grazitti’s office is equipped with the latest hardware, software and networking infrastructure. Office is linked using high speed communication links, backed up by redundant networks.

Physical Access
Grazitti’s Office power systems are designed to provide uninterrupted power, regardless of the availability of power from the local public utilities supplying the office premises, UPS units and backup generators supply power to the center in the event of a power failure. All components are covered by maintenance contracts and tested regularly. Generators are tested periodically.

Software

Firewalls
Firewall is configured and in place to protect IT resources. Firewall and switch configuration standards are documented. Firewall and switch configurations are reviewed by management on a quarterly basis.

Network & endpoint protection / monitoring
Access to Internet services from any company computing device (laptop, workstation, server etc.) or from any company address designation should be made through the company’s approved perimeter security mechanisms. External connections to company servers is not permitted.

Monitoring
Grazitti has devised and implemented adequate monitoring controls to detect unauthorized information processing activities. Critical servers and systems are configured to log user activities, exceptions and information security events. System administrator and system operator activities are logged and reviewed on a periodic basis. We analyze logs generated by FW, IDS/IPS on a daily basis and figure out for any critical events.

Vulnerability Scans & Intrusion Detection/Intrusion Prevention
As per the Audit calendar, all the network devices and services are audited for vulnerabilities by doing periodic vulnerability scans. These scans are done by the system admin internally. Grazitti uses Kali Linux, Metasploit Framework, BurpSuite Pro, OWASP ZAP, Detectify, Qualys and OpenVAS for vulnerability scans/assessments. External VAPT is also performed by the third-party vendor every year.

Anti-virus software has been installed on all desktops & laptops within the scope. Updates to the virus definition files are managed and downloaded by the software itself on a daily basis from the vendor website at specific intervals.

People

Organizational Structure
The organizational structure of Grazitti provides the overall framework for planning, directing, and controlling operations. It has segregated personnel and business functions into functional groups according to job responsibilities. This approach helps enable the organization to define responsibilities, lines of reporting, and communication, and helps facilitate employees to focus on the specific business issues impacting Grazitti clients.

New Hire Procedures
New employees are required to read HR corporate policies and procedures and are provided online access to these policies. Hiring procedures require that the proper educational levels have been attained along with required job-related certifications, if applicable, and industry experience. If a candidate is qualified, interviews are conducted with various levels of management and staff.

**Code of Conduct and Disciplinary Action**
Grazitti has put forward the Code of Conduct and Disciplinary Process in-order to encourage and maintain standards of conduct and ensure consistent and fair treatment for all

**Procedures**
IT policies and operating instructions are documented. Procedures described cover server management, server hardening, workstation security system, network management, security patch management, user creation, system audit, ID card activation, etc. Additionally, production and training standard operating procedures are available.

**Change Management**
Grazitti has implemented a well-defined Change management process to ensure that all changes to the information processing facilities, including equipment, supporting facilities and utilities, networks, application software, systems software and security devices are managed and controlled. The Change Management process describes a methodical approach to handle the changes that are to be made. All the changes need to be subjected to a formal Change Management process.

**Incident Response and Management**
Procedures for the incident response including identification and escalation of security breaches and other incidents are included in the policy. Root-cause analyses of all the incidents are performed and the root cause identified shall remedy and reported. The actions proposed from the root-cause analysis is reviewed and approved by CISO.

**Logical Access**
Access to resources is granted to an authenticated user based on the user’s identity through a unique login ID that is authenticated by an associated password. Assets are assigned owners who are responsible for evaluating the appropriateness of access based on job roles.

**Administrative Level Access**
Administrative rights and access to administrative accounts are granted to individuals that require that level of access in order to perform their jobs. All developers in the Grazitti application development team have admin rights by default in order to install software required for their day to day job. All administrative level access, other than to the IT team and dev team, must be justified to and approved by the IT team.

**Confidentiality**
Secure procedures are established to ensure safe and secure disposal of media when no longer required. The level of destruction or disposal of media would depend on the information or data stored in the media and the criticality of the information as per the information classification guideline.

**Backup and Recovery of Data**
Grazitti has developed formal policies and procedures relating to backup and recovery. Backup policy is defined in the Backup Policy. Suitable backups are taken and maintained.